# Visual Numerics, Inc. Software License Agreement

JMSL<sup>™</sup> Numerical Library License (S) (May 2004)

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### **TERMS AND CONDITIONS**

# 1. Definitions.

"**Application(s)**" means Your application(s) in bytecode (which may consist of applets, Java server pages, servlets, or stand-alone applications) created using a Development license (as defined below), which may or may not incorporate a .jar file of the Software (as defined below).

"Client Deployment" means each time You Deploy (as defined below) one or more Applications on a Computer (as defined below) or via a Server (as defined below) or Cluster (as defined below), as authorized pursuant to this Agreement.

"Cluster" means an integrated multiple processor-computing system involving one or more Server(s) and/or Computer(s) accessed and used by one (1) or more end users.

"**Computer**" means a primarily single (but not to exceed two (2)) processor, single computing device used by a single end user.

"**Copy**" means a physical copy, as on a CD, or loading the Software or an Application(s) into RAM or permanent memory of a Computer.

"**Deploy**" or "**Deployment**" means each time You make a Copy of Your Application(s) available to a User (as defined below) of an Application Deployment license.

"Development" means a license for Internal use of the Software to create Applications.

"Documentation" means electronic or online documentation provided by VNI to licensees of its corresponding proprietary JMSL<sup>™</sup> software libraries.

**"Education**" license means a non-transferable license for faculty, staff, or full-time students at a degreegranting academic institution to develop and/or Deploy Application(s) for non-commercial, instructional or research purposes solely for use within the confines of such academic institution.

"Floating" Users mean the maximum authorized number of Users that may concurrently use the Software for Development or in connection with Deployment, as the case may be.

"Internal" means use is limited to Licensee, its employees, and contractors performing work for Licensee's benefit, but not Licensee's customers or other third parties, and in the case of faculty, staff, or full-time students at a degree-granting academic institution, includes usage for Education purposes.

"License Fee Agreement" means the business transaction document(s) between You and VNI (such as, but not limited to VNI quotations, Your purchase orders and VNI's corresponding acknowledgements, and VNI invoices) which define the agreed parameters of use of the Software, including pricing, and which identify the number of processors and applicable Server(s) and/or Computer(s) on which You may use the Software. "License Fee Agreement" excludes each party's preprinted boilerplate terms of purchase or sale on such transactional document(s) and all such preprinted terms and conditions of purchase or sale are hereby rejected.

"Node Lock" means use is limited to a specifically identified Computer, Server or Cluster.

"**Pack**" licenses permit the number of Client Deployments of Your Application(s) in the Pack on the authorized number of Computers.

"Server Deployment" means providing Internal access to an Application that is loaded and executed on a Server or Cluster.

"Server" means a primarily single computing device used and/or accessed remotely by User(s) via one or more Computers.

"**Software**" means VNI's proprietary JMSL<sup>™</sup> software libraries in byte code, and includes the Documentation and any Updates and New Releases (as those terms are defined in Exhibit A attached hereto and made a part hereof) subsequently provided by VNI to Licensee.

"SUSS" means VNI's annual Software Update Subscription Service, the terms of which are set forth in an Exhibit A attached hereto.

"**User**" refers (i) for Development licenses, to one or more identified individuals each of whom will Internally use a Copy of Software on only one (1) Computer for development of one (1) or more Application(s); and (ii) for Client Deployment licenses, to each user of a Copy of Your Application(s) on a Computer; and (iii) for Server Deployment licenses, to each user of Your Application(s) who may access and use the Application(s) via a Server or Cluster, or may download the Application(s) for a Server or Cluster for use on one (1) Computer.

"VNI" and "Licensor" mean Visual Numerics, Inc.

## 2. Development License.

(a) General Development. Subject to the terms and conditions of this Agreement, and upon payment of the applicable fees as specified in Your License Fee Agreement, You are granted a perpetual, non-transferable, non-exclusive, fee bearing Development license to install one (1) Copy of the Software in byte code for use by the number of Users specified in Your License Fee Agreement with VNI for the sole purpose of allowing such Users to create Applications for Internal use. This Development license is limited to use on one (1) Computer each for the number of Users specified in this Agreement (and for which You have paid the applicable license fees), and is subject to any specifications herein regarding Floating Users and/or Node Lock limitations corresponding to the number and type of licensed Computers.

(b) Education. If You are a faculty, staff member, or a student at a degree-granting academic institution, and subject to the terms and conditions of this Agreement, including payment of the applicable fees as specified in Your License Fee Agreement, You are granted a perpetual, non-transferable, non-exclusive, fee bearing Development license to install one (1) Copy of the Software in byte code for use by the number of Users specified in Your License Fee Agreement with VNI for the sole purpose of allowing such Users to create Applications for Internal Education purposes. This Development license is limited to use on one (1) Computer each for the number of Users specified in this Agreement (and for which You have paid the applicable license fees). and is subject to any specifications herein regarding Floating Users and/or Node Lock limitations corresponding to the number and type of licensed Computers.

# (c) IF NOT OTHERWISE SPECIFIED IN THE LICENSE FEE AGREEMENT, LICENSEE'S FEE-BASED DEVELOPMENT LICENSE SHALL BE LIMITED TO A SINGLE USER ON A SINGLE COMPUTER.

(d) Whether Your Development license is for Education or for General Development, You may make a single backup Copy of the Software for archival purposes. <u>You shall not</u> (i) make the Software available under this Development license available for use over a network or on a Server or Cluster, (ii) allow more than the authorized numbers of Users to use the Software for development of Applications, (iii) allow any Users to use the Software for any purpose except for development license authorizes You to Copy and include the .jar file(s) from the Software for use with Your Application(s).

(d) Except as specifically provided in Section 3(b) of this Agreement with respect to Deployment of an Application for Education instructional purposes, You must also purchase an Application Deployment license to Deploy Your Application(s) developed using the Software.

## 3. Application Deployment License.

(a) General Deployment. Subject to the terms and conditions of this Agreement, and upon payment of the applicable fees for the Development license granted pursuant to Section 2 of this Agreement, You are granted a non-transferable, non-exclusive, fee-bearing Application Deployment license to use, Copy and distribute Your Application(s) to yourself and others, limited to the number of Users specified in Your License Fee Agreement with VNI, in bytecode, for Internal use only. Your Application Deployment license is either a Client Deployment license (as specified below) or a Server Deployment license (as specified below) as indicated in Your License Fee Agreement with VNI, and is subject to the limitations and restrictions set forth below applicable to the type of Deployment license You have purchased. Each Application Deployment license is subject to any applicable limitations with respect to individual Users, Floating Users and/or Node Lock limitations specified in Your License Fee Agreement with VNI.

(b) Education. If You are employed as a faculty or staff member by, or are a full-time enrolled student at a degreegranting academic institution(s), and subject to the terms and conditions of this Agreement, including payment of the applicable fees for the Development license granted pursuant to Section 2 of this Agreement as specified in Your License Fee Agreement, You are granted a perpetual, non-transferable, non-exclusive, royalty-free Application Deployment license to use, Copy and distribute Your Application(s) Internally for Education purposes, but solely for Education instructional purposes on Computers, Servers, or Clusters owned by Your degree-granting institution or a personal computer owned by You, and not for research purposes. Notwithstanding anything to the contrary in this Agreement, Deployment for Education research purposes requires payment of additional applicable fees beyond Development license fees.

(c) Whether Your Deployment license is for Education or for General Deployment, to the extent not prohibited by applicable state law, You agree to indemnify, hold harmless and defend VNI from and against any claims or lawsuits, including but not limited to attorney's fees, that are based on the use, Deployment or distribution of Your Application(s). You may make a Copy and include for use with Your Application(s) only the .jar file(s) from the Software. You agree to use reasonable efforts to restrict end users of Your Application(s) from copying, sublicensing, modifying, reverse-engineering, disassembling, decompiling, or redistributing the Software. including .jar files (or .class files) from the Software, or using Your Application(s) as an application development tool. You may not Deploy or distribute Your Application(s) (i) externally, or (ii) to third parties, other than Your contractors who may use Your Application(s) for Your Internal benefit only; or (iii) for use in conjunction with a compiler (other than the Java Virtual Machine), an interpreter language product, or an interface which forms a part of a programming language product; or (iv) which consists of a development tool or utility program similar to the Software which contains the Software; or (v) which includes, directly or indirectly, the Software Documentation; or (vi) which contains the programming interface derived from the Software. Your Application(s) (w) may not be an application development tool or a library or utility program similar to the Software, (x) may not provide Your end user with an Application Programming Interface (API) which facilitates programmatic access to the jar files or class libraries from Software, (y) may not include the Software documentation, help or example files, and (z) may not be used to develop other software programs or applications.

*Client Deployment.* For each Client Deployment license You may (i) reproduce and include a single .jar file from the Software, in byte code form, in each Copy of Your stand-alone Application(s) or Applet(s); and (ii) load and execute Your Application(s) on individual Computer(s) each for the use and benefit of a single end user. You may load Licensee Application(s) pursuant to this Client Deployment license on one or more Servers or Clusters for providing an initial one-time distribution of the exclusive purpose of transferring the Licensee Application file(s) for to licensed Client Deployment (i.e., download Computer(s) for execution of a .jar file) for the number of client Deployments specified in this Agreement, provided that Licensee may distribute such Licensee error corrections, bug fixes and patches to the Application(s) over a Server to Users for whom Licensee has purchased a Client Deployment license pursuant to this Agreement. Licensee may not use, or execute Licensee Application(s) pursuant to this Client Deployment license on a Server or Cluster (e.g. as a web server or application server). A Client Deployment license is required for each Deployment of an Application to a User (i.e., each Copy, each time an Application is loaded into RAM or permanent memory or a physical copy is made, such as on a CD). IF NOT OTHERWISE SPECIFIED IN THE LICENSE FEE AGREEMENT, LICENSEE'S FEE-BASED CLIENT DEPLOYMENT LICENSE SHALL BE LIMITED TO A SINGLE COMPUTER. A Client Deployment software license only allows You to execute (not develop, create or modify) an Application(s) that is developed using a VNI Development license for the Software. Subject to the terms and conditions of this Agreement, a Client Deployment license is perpetual.

**Server Deployment.** For each Server Deployment license, You may (a) load and run Your Application(s) (which consist of Servlets, Applets and/or Java Server Pages) on a single Server or Cluster for Internal use only, i.e. Your employees and contractors may download and use the output of Your Application(s) in the form of text files and/or image files, limited to the number of Users and Servers or Clusters specified in Your License Fee Agreement with VNI, or (b) You may load, use, and execute Your Application(s) on a single host Server or Cluster. IF NOT OTHERWISE SPECIFIED IN THE LICENSE FEE AGREEMENT, LICENSEE'S FEE-BASED SERVER DEPLOYMENT LICENSE SHALL BE LIMITED TO A SINGLE SERVER UTILIZING NOT MORE THAN TWO (2) PROCESSORS. A Server Deployment license only allows You to execute (not develop, create or modify) an Application(s) that is developed pursuant to a VNI Development license for the Software. Subject to the terms and conditions of Your License Fee Agreement, a Server Deployment license has either a perpetual term or an initial term of one (1) year from the date of Your License Fee Agreement, and shall automatically be renewed each year for an additional one (1) year term upon, and subject to payment of the applicable Server Deployment subscription renewal license fee on or before each annual anniversary date.

4. <u>Prices and Payment.</u> Licensee shall pay license fees for the Software in accordance with the provisions of Your License Fee Agreement with VNI, or if not specified therein, in accordance with VNI's then-current price sheet for the Software. Unless otherwise agreed with VNI, Licensee shall pay all fees net thirty (30) days from date of invoice. Licensee shall pay all taxes arising from or related to its use of the Software, excluding taxes

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6. <u>U.S. Government.</u> The Software and Documentation were developed at private expense and are "Commercial Items" as that term is defined at 48 CFR 2.101, consisting of "Commercial Computer Software" and "Commercial Computer Software Documentation", as such terms are used in 48 CFR 12.212 or 48 CFR 227.7202-1 through 227.7202-4, as applicable. The Software and Documentation are licensed to U.S. Government end users only as Commercial Items and with only those rights granted to all other end users pursuant to the terms and conditions herein. The Software licensed to civilian agencies is licensed with Restricted Rights pursuant to FAR 52.227-19. The Software is unpublished - all rights reserved under the copyright laws of the United States and international treaties. The manufacturer is Visual Numerics, Inc., 12657 Alcosta Blvd, Suite 450, San Ramon, California 94583

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8. <u>Term and Termination</u>. This Agreement shall take effect as of the date of Your acceptance of this Agreement and shall continue in effect thereafter until terminated by either party. If You fail to comply with any material term or condition herein, VNI may, at its option, without prejudice to any other rights, terminate this Agreement and/or any license(s) granted herein (including all annual and/or perpetual Development and Application Deployment licenses as specified in Your License Fee Agreement) if such default remains uncorrected thirty (30) days after notice is sent to Licensee. Following termination, You must return or destroy, as requested by VNI, all copies of the Software and Documentation in Your possession (whether modified or unmodified). You agree to certify Your compliance with such requirement upon VNI's request. Sections 1, 5, 7, 9, 10, and 12, and this survival clause, shall survive termination of this Agreement. Unless terminated by VNI for Licensee's material breach in accordance with this Section 8, the Development and Application Deployment licenses granted pursuant to Section 2 and 3 of this Agreement shall survive any termination of this Agreement.

**9.** <u>Confidentiality</u>. You agree to maintain in confidence the confidential information of VNI, including any source code to any VNI software programs to which You have access. You further agree not to disclose such VNI confidential information to anyone other than Your employees or contractors who have a need to know or obtain access to such information in order to support Your authorized use of the Software who are bound, by a written agreement, to protect such information against any other use or disclosure.

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**11.** <u>Support</u>. During the term of this Agreement, and in consideration for Your payment of fees for support and maintenance, VNI shall provide SUSS for the Software to You in accordance with the provisions of Exhibit A attached hereto and made a part hereof. Notwithstanding the foregoing, VNI reserves the right to suspend or discontinue offering SUSS for the Software at any time.

**12.** <u>Customer Reference</u>. Licensee agrees to serve as a reference for the Software. VNI may, but shall not be obligated to, use, copy and publish Licensee's name and logo used according to Licensee's style guidelines, and a company description based on information that is publicly available or contained in Licensee's marketing materials, in marketing and promotional materials related to the Software (e.g., in media announcements, print and electronic media, web site media, and electronic communications with customers, prospects, partners, and media representatives) and to identify Licensee as a user of and reference for the Software, subject to Licensee's prior review and approval, which shall not be unreasonably withheld or delayed.

**13. Miscellaneous.** All notices or other communications required to be given hereunder shall be in writing and delivered via certified mail, Express Mail or Federal Express, return receipt requested, postage prepaid, addressed to the respective addresses set forth hereinabove (for VNI, ATTN: Sales Manager) or as otherwise requested by the receiving party. Notices shall be deemed effective upon receipt. This Agreement shall be exclusively governed by and construed and enforced in accordance with the laws of the United States of America (for agreements with the U.S. government and claims relating to copyright and federal trademark issues) or by the laws of the State of California, U.S.A. (for contract and other matters), all without regard to conflicts of law provisions. The UN Convention for the International Sale of Commercial Goods is hereby excluded. The headings or titles of each section of this Agreement are for convenience only and shall have no legal effect. Non-enforcement of any provision hereof shall not operate as a waiver. This Agreement (and the business terms of Your Licensee Fee Agreement with VNI) is the complete and exclusive statement of the agreement between VNI and Licensee and supersedes all proposals, prior agreements (oral or written) and all other communications between VNI and Licensee relating to the Software. Any purported assignment, transfer, sublicense, modification, addition or condition of acceptance (e.g. in a purchase order) of this Agreement by You is void, unless approved in advance by VNI, except that You may transfer the Software in its entirety to a successor in interest of Your entire business who assumes the obligations of this Agreement. If any provision of this Agreement is held to be invalid or unenforceable, then that provision notwithstanding, this Agreement shall remain in full force and effect and such provision shall be deemed omitted. You may not export the Software to another country without VNI's advance written consent. If VNI consents to Your export of the Software, You agree to comply with all applicable export restrictions and laws.

Applicable terms of Your License Fee Agreement with VNI which specify numbers of Users, numbers of Computers and/or Servers or Clusters (if applicable), the number of processors and license limitations are incorporated herein by reference as if set forth in full. Additional licenses to use the Software obtained by You after the date of this Agreement shall be subject to all the terms and conditions of this Agreement.

### **EXHIBIT A**

#### Software Update Subscription Services

VNI's Software Update Subscription Services ("SUSS") are available by subscription on the terms and conditions in this Exhibit A. SUSS for Development and Deployment will only be provided if You subscribe to each SUSS type and pay the respective applicable fees, which are in addition to the license fees for the Software. SUSS for a Development License allows You to receive the services described in this Exhibit A only for development purposes. To receive SUSS for Your Deployment License, You must be a current subscriber of SUSS for Your Development License allows You to receive Updates (as defined below) and New Releases (as defined below) of the Software that are provided by VNI as a part of SUSS for a Development License.

1. VNI will provide remote access, by telephone, fax, or electronic mail, to VNI product support personnel, who will answer routine questions concerning use of the VNI Products from 8:00 A.M. to 5:00 P.M., CST, Monday through Friday, excluding holidays. For Software obtained outside the U.S. front line support will be provided by the local vendor or VNI office during its normal business hours, excluding applicable holidays.

2. VNI will provide minor product updates, error corrections, bug fixes and patches (all of which are "Updates," identified by a change in the product designation suffix, e.g., Version 2.1 to 2.2), at no additional cost, when such Updates are developed by VNI and generally made available at no additional cost to SUSS subscribers.

3. VNI may, in its sole discretion, determine that the addition of significant functionality or enhancements to Software is not an Update but is a New Release, identified by a change in a product's version number (i.e., designated by an increase in the whole number to the left of the decimal, e.g., from Version 2.1 to 3.0, a "New Release" herein) and charge a fee for the New Release and associated SUSS. Following a New Release, VNI will provide support services for the previous Software version to SUSS subscribers for a period of one (1) year, but shall not be obligated to provide such services thereafter.

4. For modifications of the Software or for any assistance or support not specifically identified in this Exhibit A, VNI may agree to perform such services on a time and materials basis.

5. VNI shall not be responsible for support of Software modified by Licensee. SUSS provided by VNI that are traceable to Licensee's modifications or code shall be billed at VNI's standard rates.

6. Licensee shall give VNI reasonable assistance and access to equipment, documentation and records, including sample output and other diagnostic information.

7. SUSS will be provided for one (1) year from the date of VNI's invoice for SUSS, provided You pay all amounts due for SUSS. VNI will invoice Licensee annually for SUSS, in advance, at VNI's then-current rate, payable as stated on the invoice. If this Agreement is terminated early for any reason, no refund shall be payable to Licensee. VNI shall not be required to provide SUSS during any period in which any amount due for Support is delinquent. Costs of telephone and terminal equipment incurred by Licensee shall be the responsibility of Licensee.